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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Neeman Malek
Art Unit	
Examiner Name	
Attorney Docket Number	UBI201

Sheet 1 of 1

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 2,774,119	12-18-1956	F. C. Osten	
		US- 4,068,406	01-17-1978	E. H. Wood	Fig. 3
		US- 4,089,085	05-16-1978	J. R. Fitzgibbon	
		US- 4,190,930	03-04-1980	D. M. Prosser	Figs. 4, 5, 15, 16
		US- 4,332,054	06-01-1982	Paist et al.	
		US- 4,610,108	09-09-1986	G. J. Marshik	
		US- 4,949,425	08-21-1990	Dodson et al.	
		US- 5,127,192	07-07-1992	R. D. Cross	
		US- 5,669,180	09-23-1997	R. G. Maier	
		US- 5,806,243	09-15-1998	Prete et al.	
		US- 6,332,288 B1	12-25-2001	Guillemet et al.	
		US- 6,467,128 B1	10-22-2002	M. Damani	Figs.1, 2 C5 L30-65
		US- 2002/0129463 A1	09-19-2002	G. R. Newman	
		US- 6,151,832	11-28-2000	Opielski et al.	
		US-			
		US-			
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Neeman Malek

Docket No. UBI201

For: BLOCK AND TACKLE SASH BALANCE SHOE

Mail Stop: Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

(37 CFR 1.97, 1.98)

A preliminary search was made through patented art which included Class/subclasses 16/193, 196, 197, 198, 202, 206, 210, 213, Dig. 16; 49/176, 181, and 445. No art was found which describes or discloses the invention.

Art which appears to represent the latest developments and that which is presently available in the art to which the above invention pertains, has been noted in the Specification of the enclosed application. That art is listed below, and in form PTO/SB/08A attached. Copies of the art are included with this paper.

U.S. Patent Documents:

<u>No.</u>	<u>Patent date</u>	<u>Inventor/Title of Patent</u>
6,467,128	Oct. 22, 2002	M. Damani/BLOCK AND TACKLE SASH COUNTER BALANCE
4,190,930	Mar. 4, 1980	D. M. Prosser/WINDOW AND SASH BALANCE
4,068,406	Jan. 17, 1978	E. H. Wood/SIDE CAMMING BALANCE SPRING LOCK

In the spirit of full disclosure, applicant provides herewith copies of patents for review by the Examiner, which were provided by the searcher for our consideration. None are deemed by

applicant to suggest the invention.

U.S. Patent Documents:

<u>No.</u>	<u>Patent date</u>	<u>Inventor/Title of Patent</u>
2,774,119	Dec. 18, 1956	F.C. Osten/SPRING SASH BALANCE
4,089,085	May 16, 1978	J. R. Fitzgibbon/SASH BALANCES AND COMPONENTS THEREOF
4,332,054	June 1, 1982	Paist et al./BLOCK AND TACKLE WINDOW SASH BALANCE AND INSTALLATION METHOD
4,610,108	Sep. 9, 1986	G. J. Marshik/BALANCE SPRING LOCKING SLIDE BLOCK FOR TILT-OUT WINDOWS
4,949,425	Aug. 21, 1990	Dodson et al./SPRING LOADED BLOCK AND TACKLE WINDOW SASH BALANCE ASSEMBLY
5,127,192	Jul. 7, 1992	R. D. Cross/PIVOT SHOE FOR REMOVABLE SASH
5,669,180	Sep. 23, 1997	R. G. Maier/ WINDOW BALANCE BRAKE SHOE AND PIVOT ASSEMBLY
5,806,243	Sep. 15, 1998	Prete et al./SASH BALANCE BRAKE ASSEMBLY
6,151,832	Nov. 28, 2000	Opielski et al./WINDOW BALANCE CAM HOUSING
6,332,288	Dec. 25, 2001	Guillemet et al./WINDOW SASH PIVOT ASSEMBLY
U.S. Patent Application Publication No. US 2002/0129463 A1 Sep. 19, 2002		
G. R. Newman/BLOCK AND TACKLE WINDOW BALANCE WITH BOTTOM GUIDE ROLLER		

Respectfully submitted,

 July 23, 2003  
Robert A. Seemann      date

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